

EXHIBITS

Open Letter From
A Whistleblower
To NIH Director

EXHIBIT D

The Grants' Compliance & Oversight Division Actions to Reinstate the Grant and Restore the Funded Research at NYU

1. Email of the Assistant Grants Compliance Officer, Ms. Kathy Hancock (Hancock) to Dr. Nurit Kalderon (Kalderon) dated June 5, 2007.
2. Email correspondence between Hancock and Kalderon dated November 9, 2007.
3. Email correspondence between Hancock and Ms. Tijuanna DeCoster dated November 16, 2007

Kalderon, Nurit/Sloan-Kettering Institute

From: Hancock, Kathy (NIH/OD) [E] [hancockk@od.nih.gov]
Sent: Tuesday, June 05, 2007 7:19 AM
To: Kalderon, Nurit/Sloan-Kettering Institute
Subject: RE: NS39375: reinstating missing funds

Dear Nurit,

I spoke with Maxine. We talked about the need to obtain the final Financial Status Report from the institution. Maxine was going to consult with someone in her office to inquire about obtaining the delinquent report.

Regards,

Kathy R. Hancock
Assistant Grants Compliance Officer
Division of Grants Compliance and Oversight Office of Policy for Extramural Research
Administration National Institutes of Health
6705 Rockledge Drive
Rockledge 1, Room 3519
Bethesda, MD 20892
Phone: 301.435.1962
FAX: 301.435.3059

NIH is in the process of converting to SF424 (Research and Related [R&R]) forms and electronic submission through Grants.gov. Information on this plan and on registering for electronic submission is available <http://era.nih.gov/ElectronicReceipt/>.

-----Original Message-----

From: kalderon@mskcc.org [mailto:kalderon@mskcc.org]
Sent: Monday, June 04, 2007 8:08 PM
To: Hancock, Kathy (NIH/OD) [E]
Subject: NS39375: reinstating missing funds

Dear Kathy,

I'm following up today's phone conversation: did you manage to get hold of Ms. Decoster?

Many thnx

Nurit

(212) 564-9498

From: Hancock, Kathy (NIH/OD) [E] <hancockk@od.nih.gov>

[[add to contacts](#)]

To: kalderon@spinalcordcure.org

Cc:

Date: Friday, November 09, 2007 03:39 pm

Subject: RE: nyu

Attachments: [Text version of this message. \(2KB\)](#)

Great, thanks!

From: kalderon@spinalcordcure.org [mailto:kalderon@spinalcordcure.org]

Sent: Friday, November 09, 2007 3:39 PM

To: Hancock, Kathy (NIH/OD) [E]

Subject: Re: nyu

Dear Kathy,

Yes I'm definitely willing and shall do it over the weekend; it will be in your mail first thing on Monday morning and if additional clarifications are needed I'll provide these during the day so you'll have everything ready for Tuesday?

many thanks, Nurit

-----Original Message-----

From: Hancock, Kathy (NIH/OD) [E] [mailto:hancockk@od.nih.gov]

Sent: Friday, November 9, 2007 02:16 PM

To: kalderon@spinalcordcure.org

Subject: RE: nyu

Dear Nurit,

Would you be able or willing to provide me with a brief chronology of events that you are mostly concerned with starting with the departure from the College? I think it would be a lot easier and quicker if you did it since you are most familiar with the dates and actions taken to date. I then will have this information to share with my bosses on Tuesday. Thanks.

From: kalderon@spinalcordcure.org [mailto:kalderon@spinalcordcure.org]

Sent: Friday, November 09, 2007 12:40 PM

To: Hancock, Kathy (NIH/OD) [E]

Subject: nyu

Dear Kathy,

I tried to find what's the status of things at NYU but Chiye Aoki is currently attending a parents' conference at her kids' school;

here is the link to NYU <http://www.cns.nyu.edu/faculty.php> which outlines the

From: Decoster, Tijuanna (NIH/NINDS) [E]
Sent: Friday, November 16, 2007 3:06 PM
To: Hancock, Kathy (NIH/OD) [E]
Cc: Ellis, Joe [OPERA] (NIH/OD) [E]
Subject: RE: Request a meeting applicable to grant # R01 NS39375-06 PI - Dr. Nurit Kalderon

Kathy,

The meeting time is Tuesday from 4:00 – 5:00. I forgot to include the date in previous e-mail

Tia

From: Hancock, Kathy (NIH/OD) [E]
Sent: Friday, November 16, 2007 11:11 AM
To: Decoster, Tijuanna (NIH/NINDS) [E]
Cc: Ellis, Joe [OPERA] (NIH/OD) [E]; Hancock, Kathy (NIH/OD) [E]
Subject: Request a meeting applicable to grant # R01 NS39375-06 PI Dr. Nurit Kalderon
Importance: High

Dear Tia,

Joe Ellis and I would like to meet with you and Dr. Bob Finkelstein on Monday or Tuesday of next week, if at all possible, to discuss what obstacles and/or circumstances are delaying the issuance of the Change of Institution award to New York University (NYU) for grant R01 NS393705-06 under the direction of Dr. Nurit Kalderon.

Because this issue has come to Dr. Zerhouni's attention, it is important that Joe be prepared to brief the Office of Director staff as soon as possible regarding this issue.

Thank you in advance for your immediate attention to this request.

Sincerely,

Kathy R. Hancock
Assistant Grants Compliance Officer

Division of Grants Compliance and Oversight
Office of Policy for Extramural Research Administration
National Institutes of Health
6705 Rockledge Drive
Rockledge 1, Room 3519
Bethesda, MD 20892
Phone: 301.435.1962
FAX: 301.435.3059

OER - Where grants policy, program coordination, compliance and electronic research administration converge. Check out our updated Web site at <http://grants.nih.gov/>.

Decoster, Tijuanna (NIH/NINDS) [E]

From: Ellis, Joe [OPERA] (NIH/OD) [E]
Sent: Tuesday, November 20, 2007 1:51 PM
To: Decoster, Tijuanna (NIH/NINDS) [E]
Subject: RE: NS39375-06 (Nurit Kalderon)

Tia,

Looks like you are on the right course to bring this to a resolution. Thanks for pulling these considerations to a conclusion at NINDS. If OPERA can be of assistance please let us know.

Joe

From: Decoster, Tijuanna (NIH/NINDS) [E]
Sent: Tuesday, November 20, 2007 1:03 PM
To: Ellis, Joe [OPERA] (NIH/OD) [E]
Cc: Decoster, Tijuanna (NIH/NINDS) [E]
Subject: NS39375-06 (Nurit Kalderon)

Joe,

Thanks for speaking with me regarding the above referenced grant. As you indicated, there is a hiatus in the grant period (4/30-06 – 11/30/07) and an extension from the New York College of Podiatric Medicine is not necessary to process the Change of Institution to New York University.

The Type 7 anticipated start date is December 1, 2007 with an ending date of November 30, 2008 using prior year funds (FY2004) to fund this project.

Again, thanks for your assistance.

Tia